

Division of External Research and Publications
Office of Intelligence Research and Analysis
DEPARTMENT OF STATE

August 14, 1958

EXTERNAL RESEARCH MEMORANDUM

Subject: Briefing Concerning External Research Activities

The following is an outline of a briefing concerning the Division of External Research and Publications of the Department of State to be presented on Friday September 12 at 1:00 PM in the conference room of Central Building. 26

I. Introduction

A. Rationale

1. Keynote and Justification: Private research effort vitally supplements government's own intelligence program. DXP is normal, systematic channel of communication between the scholar and the IAC. DXP must understand capacities of the one and requirements of the other.

2. The ABCD of external research.

A--Anticipation. Division tries to anticipate IAC needs and to predict, by keeping in touch with research world, who is likely to conduct valuable research. Appraises both scholar and scholarship.

B--Barter. Our relationship with academic world is carried on through barter. Little contract research. We offer sources, access to officialdom, stimulation, and encouragement to scholar in exchange for his unpublished research findings, scholarly judgments, information on projected research, and debriefings.

C--Coordination. We serve as clearing-house of external research information for IAC agencies, monitor of their external research proposals and plans.

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D--Direction. Subtly, but effectively, we try to direct scholarly research into channels most likely to benefit the IAC, through formal and informal communication with foundations, universities, scholars, and private research organizations. Constant exchange of views and two-way flow of information and advice. Serves training as well as intelligence needs.

- 25X1X4 3. Range of services. From comprehensive survey of ten years of academic research on USSR to arranging
- 25X1X40 [REDACTED] From preparing memo on demographic research for EIC to coordination of interests of IAC agencies in foundation-sponsored research project on Hungary.

B. History

1. Established in Department of State in 1948 as the External Research Staff. Operated from the beginning as a joint service with CIA which provides substantial support. The Defense Department provides support for the inventory of government-sponsored research on foreign areas and psychological warfare.
2. External research lodged in State because: (a) Department's dominant interest in social, political, cultural, and economic intelligence as defined in NSCID, (b) Greater freedom in dealing with scholars on overt intelligence matters, (c) Supports policy as well as intelligence operations.
3. Charter of external research. Basic agreement between CIA and State concerning this joint service is laid out in DCI letter to State in 1952.

C. Organization

1. Place of external research in Department. We are now the Division of External Research and Publications, responsible to the Deputy Director for Functional Intelligence, in the Office of Intelligence Research and Analysis. After reorganization last May, Division took over branch which reviews internal IRA intelligence production and publishes Intelligence Analyst. For external research this expansion has basic value of orienting effort to concerns of intelligence reporting and estimating mechanism.

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2. External research activities divided into two branches: (a) Private Branch, dealing with private scholars, foundations, universities, and private research organizations, and (b) Government Branch, acting as a liaison and coordinating office with other government agencies having external research interest and activities. Some overlap between two branches in such cases as [REDACTED]

II. Private Branch

A. Liaison activities

1. Private scholars. The Division maintains general liaison with many scholars to facilitate the specific liaison often required in the performance of a mission assigned by State or CIA. We perform numerous functions for private scholars, including the distribution of research materials, review of manuscripts, answering of specific research questions, etc.
2. University research and area study programs. The Division concentrates on important groups such as the Harvard and Columbia Russian centers, but maintains correspondence and files on all such programs.
3. Scholarly organizations. The Division benefits from close and friendly relations with the responsible officers of many organizations, such as the Social Science Research Council, the Council on Foreign Relations, and the area oriented scholarly groups, so that it is often advised of projected programs and activities long in advance of public announcement. We have had some success in influencing choice of research topics. Importance of continuing and personal relationships--DXP is not integrated into the Foreign Service.
4. Foundations. Personal contacts with officers of the major foundations enable DXP informally to keep apprized of grants and research support plans, influence direction of foundation effort, and often secure cooperation in government enterprises. Provision of research suggestions and opportunities for official but informal briefing of foundation officers and fellowship grantees is arranged.

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- B. Discovery of significant private research.
1. Research catalog. Now ten years old--a unique repository of information about social science research, scholars, and projects. Information secured by regular and elaborate surveys of private scholarly effort. Sources include questionnaires, correspondence, survey of literature, book lists, etc.
 2. Acquisition of unpublished scholarly papers for the use of intelligence community. Many are secured on own initiative or sent as courtesy by author, others are requested by user agency. Often useful in NIS and other basic work; e.g. book on Organized Business in France by Henry Ehrmann was procured in mss. and used for the NIS on France.
- C. Publication and dissemination of findings
1. External research findings disseminated in variety of ways to suit needs: phone communication, informal conference with requestor, debriefings, special memoranda and notes, provision of occasional manuscript for requestor, photoduplication of letters and informative attachments. External research information that has wider uses or is of more lasting value is reported on more formally--reports, memoranda, ER papers--and widely distributed in IAC.
 2. Research Lists--10 lists of foreign area research in progress or recently completed now published (formerly 14) regularly twice each year. Special lists as required, either for a topic of general interest, or to fill a specific need.
 3. List of group research projects. Separated in reporting because of magnitude and anticipated importance of project to IAC.
 4. External Research Reports. Summaries of findings reported at scholarly meetings and research trends. Usually such reports summarize papers which are available in DXP.
 5. Special Reports. Such reports as "Soviet Studies in the United States, 1947-1957".

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6. External Research Papers. Reproductions of scholarly materials furnished by private scholars--often bibliographies which would not easily find a publisher. Some papers too specialized in scope to be published commercially.
 7. Occasional papers. Such as lists of Ford Foundation fellows, reports of debriefings or talks given at local scholarly societies, calendars of professional association meetings, especially international meetings.
 8. Area study programs in American universities. An occasional compilation which is useful to government research and training officers as well as to private scholars. Latest edition 1956.
 9. Debriefings of returned scholars: 10-15 arranged per year.
- D. Stimulation of private research of value to intelligence community.
1. Scholars and institutes increasingly receptive to suggestions for undertaking research projects of interest to intelligence community. Interviews arranged for scholars with IRA and other analysts.
 2. Attendance of DXP officer at meetings of closed groups like Social Science Research Council's Committee on Comparative Politics, or Committee on Middle Eastern Studies; African Studies Association; Columbia meetings on research on data concerning the Hungarian rebellion.
 3. Dissemination of research materials. Government can stimulate desired research by making available unique research data, such as Hong Kong Press materials, materials collected at time of Communist backed government in Guatemala, maps from various sources, other press materials, compilations and translations, intelligence reports.
 4. Correspondence with thousands of scholars who ask research questions. Information and materials furnished in response to a defined research requirement.
- E. Foreign Research
1. Division has undertaken to secure information about international conferences and research activities in Western Europe in the same way

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that it now collects information about American research. Some data now available, and more awaiting the arrival of an analyst qualified to handle material.

2. Exploratory trip to European research centers in October-December, 1957 disclosed much social science research activity not now taken into account in US agencies. Information reported in trip report, detailed notes on conversations with Europeans, and list of current Western European Research on Soviet Bloc. (Since this is new program, user comments on these reports would be welcome).

F. What DXP can do for CIA:

1. Find out (to any detail desired) "who is doing what" in social science research on foreign areas, international relations, methodology, etc. Data will usually include scope of research, sources, and expected completion date.
2. Evaluate private research in terms of government requirements; can be accomplished for small segments of research or for a whole area of research; e.g. U.S. research on African political developments.
3. Find out capacities and availability of candidates for undertaking research either with or without government financing. Research catalog is invaluable resource. Personal acquaintance with many research specialists will add details concerning backgrounds and capabilities.
4. Arrange to get required research done, either on contract (Government Branch) or otherwise.
5. Answer questions about research or academic organizations, or foundations, e.g. officers, past performance, competence, etc.
6. Assist in planning new research programs to prevent duplication of effort and assure maximum utilization of materials already available.

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III. Government Branch

A. Central Research Files

1. Collection of information on government-sponsored social science research projects dealing with foreign areas and psychological warfare planned, in progress, or completed. Information gathered through systematic surveys of government agencies.
2. Central file of information. Catalog now has approximately 8,200 titles representing approximately 3,000 projects which in sum cost the U.S. Government in excess of \$50 million. Cards contain the titles, contractor, sponsoring agency, a brief title description, and when available, the cost. We are now preparing a set of cards giving a much fuller annotation of each project. These cards will supplement the smaller ones since the reports/projects will be selected on the basis of their continuing interest and usefulness. In these cards we are attempting to give the reasons for the project, what purposes it will serve, methodology used in the research and the conclusions reached. No attempt will be made to evaluate the results since this is the function of the end-user analyst. Cards filed by subject, area, sponsoring agency, and contractor.
3. Publication of periodical lists of projects. Inventory of government-sponsored research published quarterly; gives annotated listings of government-sponsored foreign area and psychological warfare research initiated, in progress, and completed during each quarter. In addition, an annual inventory is published which lists and fully annotates projects completed during the calendar year. A five year annotated inventory of completed research is now being prepared on some 200 selected research projects.

B. Review and Coordination

1. Clearinghouse for external research contracts let by members of the IAC. Example of Agency's contract with [REDACTED] Since total sum involved exceeded \$5,000, contract required EIC approval which necessitated DXP review for duplication and report on related research completed, in progress, or planned.

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25X1A 2. Example of procedure followed in case of Hungarian Project sponsored by [REDACTED] conducted by [REDACTED] and designed to provide analysis of events leading to revolt and nature of satellite society. (a) [REDACTED] requested DXP to determine extent of government research. We discovered that many agencies were interested in different phases of rebellion, but no consolidated effort. Based partly on DXP advice, [REDACTED] made sizeable grant [REDACTED] for a full study of the rebellion. (b) We alerted IAC agencies to [REDACTED] interests, and handled submission of requirements [REDACTED] Much of this coordination was conducted informally through the Social Science Research Group. Throughout, through informal contacts and confidences, we were able to maintain confidential status of operation to advantage of both sides.

C. Contracts

- 25X1C4a 1. Negotiation and monitoring of contracts for other agencies including selection of contractor, preparation of agreement, security clearance, 25X1C4a monitor of progress, and acceptance of final product. [REDACTED]
2. Department's own contracts. These usually serve to provide basic information for the use of the intelligence community or to support the Department's contribution to the NIS program. Example: Recent contract with the Rice Institute concerned with an analysis of the personality and cultural traits chiefly of the secondary and university student groups of the Arab area in the Eastern Mediterranean.

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